

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/813.099

**Applicants** 

Alfred Thomas

Benjamin T. Gomez

Robert J. Wilson, Jr.

Roger A. Delott

Filed

:

March 30, 2004

Title

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:

Bonus Game For A Gaming Machine

TC/A.U.

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Not Assigned

Examiner

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Not Assigned

Docket No.

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47079-00013USD1

Customer No.

30223

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria,

VA 22313-1450, on May 17, 2004.

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Signature: Janet & Yournaber

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## SECOND INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.98

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Second Information Disclosure Statement be entered, and the references listed on enclosed Form PTO-1449 be considered by the Examiner and made of record. In accordance with the waiver of 37 C.F.R. § 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and publication for all U.S. national patent applications filed after June 30, 2003, only copies of the foreign references and other documents are enclosed.

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In accordance with 37 C.F.R. §§ 1.97(g) and (h), this Second Information Disclosure Statement is not to be construed as a representation that a search has been made, or an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability, as defined in 37 C.F.R. § 1.56.

References A46-A48 and B53-B55 on the enclosed Form PTO-1449 were recently cited in a related application, U.S. Patent Application No. 10/673,718.

WMS Gaming Inc., assignee of the present application, and Sigma Game, Inc., are in litigation in the United States District Court for the District of Nevada, Civil Action No. CV-S04-0027-RLH-LRL. WMS Gaming Inc. has alleged that Sigma Game, Inc., infringes U.S. Patent No. 6,645,074, which is related to the present application. References C58-C75 under "Other Documents" on the enclosed Form PTO-1449 are being submitted as a result of this litigation.

In the litigation, Sigma has alleged that certain prior art gaming machines invalidate the claims of U.S. Patent No. 6,645,074. In its Opposition Briefs to Plaintiff's Motion for a Preliminary Injunction and supporting Declarations (References C67-C75), Sigma discusses The Poker Plus Game and The Great Whites Game in detail.

Neither The Poker Plus Game nor The Great Whites Game, however, affect the patentability of the pending claims in the present application. The present invention is directed to a wagering game in which there is a basic game and a bonus game that is activated when a start-bonus outcome is achieved in the basic game. As such, each of the claims requires two distinct games — the basic game and the bonus game. The selectable elements are displayed during the bonus game (not the basic game), and the selectable elements are distinct from the symbols in the basic game. The selectable elements are fixedly associated with award indicia

that are awarded to the player in the bonus game if the player selects those elements. The award indicia can be items like a credit amount, a multiplier, or a monetary value. After receiving player selection(s) in this bonus game, the award indicia for the unselected ones of the elements are revealed to the player so that he or she can see the awards that would have been achieved had different selections been made.

Neither The Great Whites Game nor The Poker Plus Game relate to a wagering game where the bonus game has selectable elements that are (i) different from the basic-game symbols and (ii) fixedly associated with award indicia. Further, it is noted that many of the claims are directed to the basic game having symbol-bearing reels (*i.e.*, a slot machine), which is entirely different from The Poker Plus Game. Additionally, many of the claims call for the award indicia to be a stop-bonus game symbol prohibiting further player-selections in the bonus game, which is quite different from The Great Whites Game wherein the player selects one, and only one, of the basic game symbols (*i.e.*, the 5 shells) to reveal the mystery award.

Without providing any succinct detail, Sigma has also suggested that prior art of the type found in References C58-C65 may have some bearing on the claims of U.S. Patent No. 6,645,074. Two of those games, the "Reel 'Em In" game and the "Mermaid's Gold" game, were sold by the assignee of the present application. Regarding the "Reel 'Em In" game (References C58-59), it does not have a reveal feature in the bonus game, whereby the award indicia of unselected ones of the selectable elements are revealed to the player after the player has made his or her selections. Regarding the "Mermaid's Gold" game (Reference C60), it also does not have a reveal feature in the bonus game, whereby the award indicia of unselected ones of the selectable elements are revealed to the player has made his or her selections.

This Second Information Disclosure Statement is being filed within three months of the filing date of this application and, hence, is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fee is believed to be due. Should any fee be deemed necessary (except payment of the issue fee), however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 10-0447 (47079-00013USD1). A duplicate copy of this Statement is enclosed for that purpose.

Respectfully submitted,

Date: May 17, 2004

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Attorney for Applicants

Form PTO-1449 (modified)			Application No.:	10/813,099								
LIST OF ART CITED BY APPLICANT			Filing Date:	March 30, 2004								
Sheet 1 of 2 MAY 1 9 2004 35			First Named Inventor:	Alfred Thomas								
			Group Art Unit:	Not Assigned								
			Examiner:	Not Assigned								
			Attorney Docket No.: 47079-00013USD1									
Trico	To Sun Rit	U.S. PAT	TENT DOCUMENTS									
Ref.	Document Number	Date	Name	Class	Sub- Class	Filing Date (if Application)						
A46	4,508,513	04/02/1985	Donovan	434	346							
A47	4,560,161	12/24/1985	Hamano	273	85 CP							
A48	6,126,542	10/03/2000	Fier	463	16							
		FOREIGN I	PATENT DOCUMENTS									
Ref.	Document Number	Date	Country	Class	Sub- Class	Translation Yes/No						
B53	AU 9748484	07/16/1998	Australia	G07F	17/34	N/A						
B54	WO 97/27569	07/31/1997	International	G07F	17/34	N/A						
B55	WO 98/09259	03/05/1998	International	G07F	17/34	N/A						
	OTHER DOCU	MENTS (inclu	ding author, title, date, pe	ertinent pages, etc.)								
Ref.	Document Information											
C58	Product Sheet for "Reel 'Em In™," WMS Gaming Inc., 4 pages (1997)											
C59	Product Sheet for "Reel 'Em In™," WMS Gaming Inc., 2 pages (1997)											
C60	Product Sheet for "Mermaid's Gold," WMS Gaming Inc., 2 pages (1997)											
C61	Product Sheet for "Prize Winner," Pacific Gaming Pty Limited, 2 pages (1995)											
C62 Product Sheet for "Wizard Ways," Aristocrat Leisure Industries, 2 pages (February 1994)  C63 Product Sheet for 5 Coin Joker Poker, Aristocrat Leisure Industries Pty Ltd., 2 pages (September 1993)  C64 Product Sheet for "Treasure," Aristocrat Leisure Industries, 2 pages (1994)												
						C65	Product Sheet for "Top Gear," Aristocrat Leisure Industries, 2 pages (February 1994)					
						C66	Product Sheet for "Great Whites," 2 pages (2000)					
C67		• • •			•	, ,						
C68		ıming, Inc. v. S	nent To Opposition To Plai ligma Game, Inc., United St									
	0027-RLH-LRL (March	23, 2004)										
	Ref. A46 A47 A48  Ref. B53 B54 B55  Ref. C58 C59 C60 C61 C62 C63 C64 C65 C66 C67	Ref. Document Number  A46	RT CITED BY APPLICANT    No.   1   2004   20	RT CITED BY APPLICANT  Filing Date: First Named Inventor: Group Art Unit: Examiner: Attorney Docket No.:  U.S. PATENT DOCUMENTS  Ref. Document Number Date Name  A46 4,508,513 04/02/1985 Donovan  A47 4,560,161 12/24/1985 Hamano  A48 6,126,542 10/03/2000 Fier  FOREIGN PATENT DOCUMENTS  Ref. Document Number Date Country  B53 AU 9748484 07/16/1998 Australia  B54 WO 97/27569 07/31/1997 International  B55 WO 98/09259 03/05/1998 International  OTHER DOCUMENTS (including author, title, date, per product Sheet for "Reel 'Em In™," WMS Gaming Inc., 4 pages (1 Compared to the com	RT CITED BY APPLICANT  Filing Date: March 30, 2004  First Named Inventor: Alfred Thomas  Group Art Unit: Not Assigned  Examiner: Not Assigned  Attorney Docket No.: 47079-00013USD  U.S. PATENT DOCUMENTS   Ref. Document Number Date Name Class  A46 4,508,513 04/02/1985 Donovan 434  A47 4,560,161 12/24/1985 Hamano 273  A48 6,126,542 10/03/2000 Fier 463  FOREIGN PATENT DOCUMENTS   Ref. Document Number Date Country Class  B53 AU 9748484 07/16/1998 Australia G07F  B54 WO 97/27569 07/31/1997 International G07F  B55 WO 98/09259 03/05/1998 International G07F  OTHER DOCUMENTS (including author, title, date, pertinent pages, etc.)  Ref. Document Information  C58 Product Sheet for "Reel 'Em InTM," WMS Gaming Inc., 4 pages (1997)  C60 Product Sheet for "Reel 'Em InTM," WMS Gaming Inc., 2 pages (1997)  C61 Product Sheet for "Reel 'Em InTM," WMS Gaming Inc., 2 pages (1997)  C62 Product Sheet for "Prize Winner," Pacific Gaming Pty Limited, 2 pages (1995)  C62 Product Sheet for "Reary" Aristocrat Leisure Industries, 2 pages (February 1994)  C63 Product Sheet for "Treasure," Aristocrat Leisure Industries, 2 pages (February 1994)  C66 Product Sheet for "Top Gear," Aristocrat Leisure Industries, 2 pages (February 1994)  C66 Product Sheet for "Great Whites," 2 pages (2000)  C67 Defendant Sigma Game, Inc., 'S Opposition To Plaintiff's Motion For A Preliminary Injur WMS Gaming, Inc., v. Sigma Game, Inc., United States District Court, District of Nevada (March 22, 2004)  C68 Defendant Sigma Game, Inc., 'S Supplement To Opposition To Plaintiff's Motion For A Preliminary Injur WMS Gaming, Inc., v. Sigma Game, Inc., United States District Court, District of Nevada (March 22, 2004)	Filing Date:   March 30, 2004						

<sup>\*</sup>Examiner: Initial if citations considered, whether or not citation is in conformation with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Form PTO-1449 (modified) Application No.: 10/813,099 Filing Date: March 30, 2004 LIST OF ART CITED BY APPLICANT First Named Inventor: Alfred Thomas Group Art Unit: Not Assigned MAY 1 9 2004 Not Assigned Examiner:

Sheet 2 of

Attorney Docket No.:

47079-00013USD1

THER DOCUMENTS (including author, title, date, pertinent pages, etc.) (continued)

OTTIZIT DOCUMENTO (including author), title, duties, per titlette pages, etc.) (continued)					
Examiner Initial	Ref.	Document Information			
	C69	Inc.'s Motion For Preliminary Injunct	ort Of Defendant, Sigma Game, Inc.'s Opposition To Plaintiff, WMS Gaming, ion (with Exhibit 1), WMS Gaming, Inc. v. Sigma Game, Inc., United States 7-S04-0027-RLH-LRL (March 18, 2004)		
	C70		upport Of Defendant, Sigma Game, Inc.'s Opposition To Plaintiff, WMS ry Injunction, WMS Gaming, Inc. v. Sigma Game, Inc., United States District 027-RLH-LRL (March 19, 2004)		
	C71	Inc.'s Motion For Preliminary Injunct	ort Of Defendant, Sigma Game, Inc.'s Opposition To Plaintiff, WMS Gaming, ion (with Exhibits 1-4), WMS Gaming, Inc. v. Sigma Game, Inc., United States 7-S04-0027-RLH-LRL (March 19, 2004)		
	C72	Declaration Of James Jackson In Support Of Defendant, Sigma Game, Inc.'s Opposition To Plaintiff, WMS Gaming, Inc.'s Motion For Preliminary Injunction (with videotape SGI-009421 listed in ¶13), WMS Gaming, Inc. v. Sigma Game, Inc., United States District Court, District of Nevada, CV-S04-0027-RLH-LRL (March 22, 2004)			
	C73	Declaration Of David Kraft In Support Of Defendant, Sigma Game, Inc.'s Opposition To Plaintiff, WMS Gaming, Inc.'s Motion For Preliminary Injunction (with Exhibits 1-6), WMS Gaming, Inc. v. Sigma Game, Inc., United States District Court, District of Nevada, CV-S04-0027-RLH-LRL (March 18, 2004)			
	C74	Declaration Of Brent Lang In Support Of Defendant, Sigma Game, Inc.'s Opposition To Plaintiff, WMS Gaming, Inc.'s Motion For Preliminary Injunction, WMS Gaming, Inc. v. Sigma Game, Inc., United States District Court, District of Nevada, CV-S04-0027-RLH-LRL (March 19, 2004)			
	C75	Declaration Of John O'Donovan In Support Of Defendant, Sigma Game, Inc.'s Opposition To Plaintiff, WMS Gaming, Inc.'s Motion For Preliminary Injunction, WMS Gaming, Inc. v. Sigma Game, Inc., United States District Court, District of Nevada, CV-S04-0027-RLH-LRL (March 19, 2004)			
EXAMINER			DATE CONSIDERED		

<sup>\*</sup>Examiner: Initial if citations considered, whether or not citation is in conformation with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.